



PATENT APPLICATION
 SERIAL NO.: 09/788,268
 ATTORNEY DOCKET NO.: 2087-010262

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Group Art Unit 1645 :

In re application of :

Jonathan W. JARVIK :

**METHODS AND PRODUCTS FOR
 PEPTIDE BASED DNA SEQUENCE
 IDENTIFICATION AND ANALYSIS**

Serial No. 09/788,268 :

Filed February 16, 2001 :

Examiner Not Yet Assigned :

Pittsburgh, Pennsylvania
 July 12, 2001

RESPONSE TO "NOTICE TO FILE MISSING PARTS"

BOX MISSING PARTS

Commissioner for Patents
 Washington, D.C. 20231

Sir:

In response to the "Notice to File Missing Parts of Nonprovisional Application Filed under 37 CFR 1.53(b) Filing Date Granted" mailed April 12, 2001, the following documents are submitted for filing in the above-identified United States patent application:

1. a Patent Application Transmittal Letter (in triplicate) for purposes of calculating the filing fee;
2. the required Declaration and Power of Attorney;
3. a copy of the Sequence Amendment, filed on July 12, 2001, to Box Sequence (15 pp., along with 11 sheets of Marked-Up Specification Paragraphs);
4. a copy of the Sequence Listing, filed on July 12, 2001, to Box Sequence (7 pp., along with a copy of the face of the CRF diskette);

5. the required copy of the above-mentioned "Notice" to be returned with the Response;

6. a check in the amount of \$1,320.00 to cover the statutory filing fee of \$1,255.00 and the surcharge fee of \$65.00; and

7. a Petition for Extension of Time and a check for \$55.00.

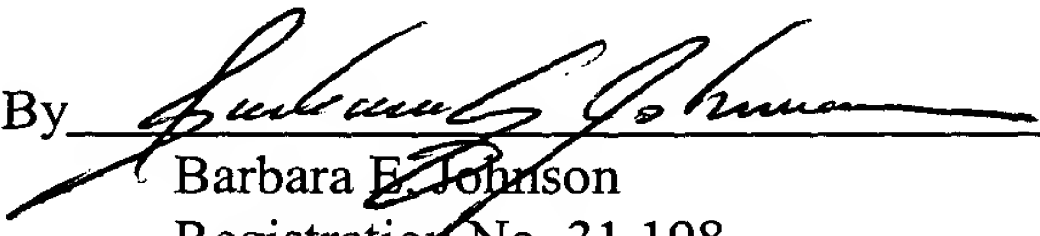
Small Entity Status is asserted for this application under 37 CFR 1.27.

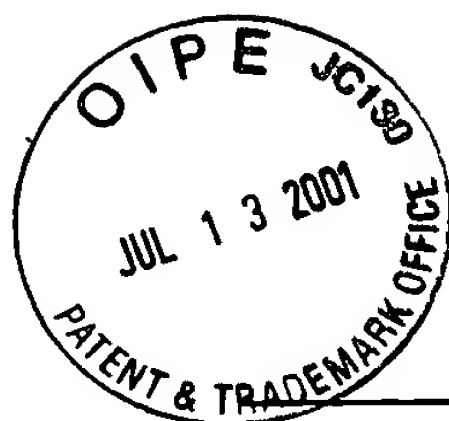
The Commissioner of Patents and Trademarks is hereby authorized to charge any additional fees as set forth in 37 CFR 1.16 and 1.17 which may be required. Please refund any overpayment to Deposit Account No. 23-0650.

An original and two (2) copies of this Response are enclosed.

Respectfully submitted,

WEBB ZIESENHEIM LOGSDON
ORKIN & HANSON, P.C.

By 
Barbara E. Johnson
Registration No. 31,198
Attorney for Applicant
700 Koppers Building
436 Seventh Avenue
Pittsburgh, PA 15219-1818
Telephone: 412-471-8815
Facsimile: 412-471-4094



ATTORNEY'S DOCKET NO.: 2087-010262
SERIAL NO.: 09/788,268

PATENT APPLICATION TRANSMITTAL LETTER

BOX MISSING PARTS

Commissioner for Patents
Washington, D.C. 20231

Transmitted herewith for filing is the patent application of: Jonathan W. JARVIK

Entitled: "Methods and Products for Peptide Based DNA Sequence Identification and Analysis"

Small Entity Status:

☐ Small Entity Statement under 37 CFR 1.27 is enclosed
☒ Small Entity Status is asserted for this application under 37 CFR 1.27

Enclosed are: ☐ sheet/s of drawing/s
☐ An Assignment of the invention to: _____
☒ Declaration & Power of Attorney
☐ Non-Publication Request under 37 C.F.R. § 1.213

CLAIMS AS FILED

	<u>No. Filed</u>	<u>No. Extra</u>	<u>Small Entity Rate</u>	<u>Non-Small Entity Rate</u>	<u>Charge</u>	
Total Claims	<u>80</u>	<u>-20 =</u>	<u>60</u>	x \$ 9.00	x \$ 18.00	\$ <u>540.00</u>
Indep. Claims	<u>12</u>	<u>-3 =</u>	<u>9</u>	x \$ 40.00	x \$ 80.00	\$ <u>360.00</u>
Multiple Dependent Claim/s			+ \$135.00	+ \$270.00	\$ <u>0</u>	
Basic Fee			+ \$355.00	+ \$710.00	\$ <u>355.00</u>	
			Total of Above Charges		\$ <u>1255.00</u>	
			TOTAL FEE		\$ <u>1255.00</u>	

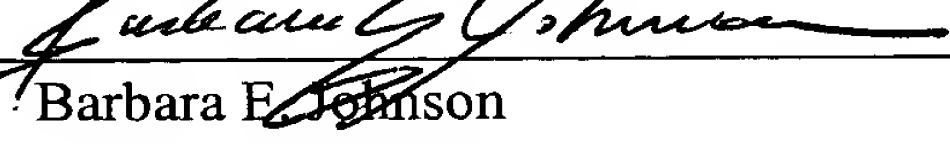
A check in the amount of \$ 1320.00 is enclosed to cover the filing fee of \$1255.00 and the surcharge fee of \$65.00.

A check in the amount of \$ 55.00 is enclosed for a one-month Petition for Extension of Time.

The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR 1.16 or patent application processing fees under 37 CFR 1.17 associated with this communication to Deposit Account No. 23-0650. Please refund any overpayment to Deposit Account No. 23-0650.

An original and two (2) copies of this Transmittal sheet are enclosed.

7/12/01
Date

By 
Barbara E. Johnson
Attorney for Applicant
Registration No. 31,198
700 Koppers Building
436 Seventh Avenue
Pittsburgh, PA 15219-1818
Telephone: 412-471-8815
Facsimile: 412-471-4094



0570

Sector \$

PATENT APPLICATION
SERIAL NO.: 09/788,268

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

ATTORNEY'S DOCKET NUMBER:

Jonathan W. JARVIK

2087-010262

ENTITLED:

"Methods and Products for Peptide Based DNA Sequence Identification and Analysis"

To: **BOX MISSING PARTS**

Commissioner for Patents

Washington, D.C. 20231

EXPRESS MAIL CERTIFICATE"Express Mail" Label Number EL 562441372USDate of Deposit July 12, 2001I hereby certify that the following attached papers and fees:

PATENT APPLICATION TRANSMITTAL LETTER (1 p. in trip.);
RESPONSE TO "NOTICE TO FILE MISSING PARTS" (2 pp. in trip.);
A PETITION FOR EXTENSION OF TIME (2 pp. in trip.);
DECLARATION AND POWER OF ATTORNEY (2 pp.);
A COPY OF THE SEQUENCE AMENDMENT (15 pp.
ALONG WITH 11 SHEETS OF MARKED-UP SPEC. PARAGRAPHS);
A COPY OF THE SEQUENCE LISTING (7 pp.);
A COPY OF THE FACE OF THE CRF DISKETTE (1 p.);
AND CHECKS IN THE AMOUNTS OF \$1,320.00 TO COVER THE FILING &
SURCHARGE FEES; AND
\$55.00 TO COVER THE EXTENSION OF TIME FEE.

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and are addressed to the Commissioner for Patents, Washington, D.C. 20231.

Mary Pat Rossi

(Typed name of person mailing paper or fee)

Mary Pat Rossi

(Signature of person mailing paper or fee)

COPY

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/788,268	02/16/2001	Jonathan W. Jarvik	2087-010262

#3

CONFIRMATION NO. 5282

FORMALITIES LETTER



OC000000005960985

Barbara E. Johnson
WEBB ZIESENHEIM LOGSDON ORKIN & HANSON, P.C.
700 Koppers Building
436 Seventh Avenue
Pittsburgh, PA 15219-1818

Date Mailed: 04/12/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

07/16/2001 CCHAU1 00000016 09788268

FILED UNDER 37 CFR 1.53(b)

01 FC:201	355.00 OP
02 FC:203	540.00 OP
03 FC:202	360.00 OP
04 FC:205	65.00 OP

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$1800.
 - \$1080 for 60 total claims over 20.
 - \$720 for 9 independent claims over 3 .
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 2640.**
- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821

(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance t these requirements, please c ntact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE